



PATENT # /

Case Docket No. YAMAH5.970A

Date: January 8, 2004) -15-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

KAJI, et al.

Appl. No.

09/727,424

Filed

December 1, 2000

For

APPARATUS FOR

OPTIMIZING CONTROL SYSTEM OF UNIT DEVICE INTEGRATED IN MACHINE

ASSEMBLY

Examiner

A. Perez Daple

Group Art Unit:

2121

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

January 8, 2004 (Date)

Katsuhiro Arai, Reg. No. 43,315

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Technology Center 2100

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with two (2) references.
- (X) A copy of Communication dated December 12, 2003.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

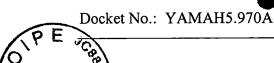
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Agent of Record

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INFORMATION DISCLOSURE STATEMENT

pplicant

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 2 references that are also enclosed.

Please note that documents number US 4 920 499, EP 0 715 253 and EP 0 957 416 are already of record, which were filed on May 21, 2003. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

By:

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: January 8, 2004

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